## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## **HOUSE BILL**

## No. 1127 Session of 2025

INTRODUCED BY MULLINS, SANCHEZ, HARKINS, KHAN, PIELLI, MARCELL, MCANDREW, HILL-EVANS, GIRAL, DONAHUE, JAMES, FREEMAN, TWARDZIK, O'MARA, CERRATO AND GREEN, APRIL 3, 2025

REFERRED TO COMMITTEE ON PROFESSIONAL LICENSURE, APRIL 3, 2025

## AN ACT

- Authorizing the Commonwealth of Pennsylvania to join the Dentist and Dental Hygienist Compact; and providing for the form of
- the compact.
- 4 The General Assembly of the Commonwealth of Pennsylvania
- 5 hereby enacts as follows:
- 6 Section 1. Short title.
- 7 This act shall be known and may be cited as the Dentist and
- 8 Dental Hygienist Compact Act.
- 9 Section 2. Authority to execute compact.
- 10 The Governor, on behalf of the Commonwealth, is hereby
- 11 authorized to execute a compact in substantially the following
- 12 form with any one or more of the states of the United States,
- 13 and the General Assembly hereby signifies in advance its
- 14 approval and ratification of such compact:
- 15 DENTIST AND DENTAL HYGIENIST COMPACT
- 16 SECTION 1. TITLE AND PURPOSE
- 17 This statute shall be known and cited as the Dentist and
- 18 Dental Hygienist Compact. The purposes of this Compact are to

- 1 facilitate the interstate practice of dentistry and dental
- 2 hygiene and improve public access to dentistry and dental
- 3 hygiene services by providing Dentists and Dental Hygienists
- 4 licensed in a Participating State the ability to practice in
- 5 Participating States in which they are not licensed. The Compact
- 6 does this by establishing a pathway for Dentists and Dental
- 7 Hygienists licensed in a Participating State to obtain a Compact
- 8 Privilege that authorizes them to practice in another
- 9 Participating State in which they are not licensed. The Compact
- 10 enables Participating States to protect the public health and
- 11 safety with respect to the practice of such Dentists and Dental
- 12 Hygienists, through the State's authority to regulate the
- 13 practice of dentistry and dental hygiene in the State. The
- 14 Compact:
- 15 A. Enables Dentists and Dental Hygienists who qualify for a
- 16 Compact Privilege to practice in other Participating States
- 17 without satisfying burdensome and duplicative requirements
- 18 associated with securing a License to practice in those States;
- B. Promotes mobility and addresses workforce shortages
- 20 through each Participating State's acceptance of a Compact
- 21 Privilege to practice in that State;
- 22 C. Increases public access to qualified, licensed Dentists
- 23 and Dental Hygienists by creating a responsible, streamlined
- 24 pathway for Licensees to practice in Participating States.
- D. Enhances the ability of Participating States to protect
- 26 the public's health and safety;
- 27 E. Does not interfere with licensure requirements established
- 28 by a Participating State;
- 29 F. Facilitates the sharing of licensure and disciplinary
- 30 information among Participating States;

- 1 G. Requires Dentists and Dental Hygienists who practice in a
- 2 Participating State pursuant to a Compact Privilege to practice
- 3 within the Scope of Practice authorized in that State;
- 4 H. Extends the authority of a Participating State to regulate
- 5 the practice of dentistry and dental hygiene within its borders
- 6 to Dentists and Dental Hygienists who practice in the State
- 7 through a Compact Privilege;
- 8 I. Promotes the cooperation of Participating State in
- 9 regulating the practice of dentistry and dental hygiene within
- 10 those States;
- 11 J. Facilitates the relocation of military members and their
- 12 spouses who are licensed to practice dentistry or dental
- 13 hygiene;
- 14 SECTION 2. DEFINITIONS
- 15 As used in this Compact, unless the context requires
- 16 otherwise, the following definitions shall apply:
- 17 A. "Active Military Member" means any person with full-time
- 18 duty status in the armed forces of the United States, including
- 19 members of the National Guard and Reserve.
- 20 B. "Adverse Action" means disciplinary action or encumbrance
- 21 imposed on a License or Compact Privilege by a State Licensing
- 22 Authority.
- 23 C. "Alternative Program" means a non-disciplinary monitoring
- 24 or practice remediation process applicable to a Dentist or
- 25 Dental Hygienist approved by a State Licensing Authority of a
- 26 Participating State in which the Dentist or Dental Hygienist is
- 27 licensed. This includes, but is not limited to, programs to
- 28 which Licensees with substance abuse or addiction issues are
- 29 referred in lieu of Adverse Action.
- 30 D. "Clinical Assessment" means examination or process,

- 1 required for licensure as a Dentist or Dental Hygienist as
- 2 applicable, that provides evidence of clinical competence in
- 3 dentistry or dental hygiene.
- 4 E. "Commissioner" means the individual appointed by a
- 5 Participating State to serve as the member of the Commission for
- 6 that Participating State.
- 7 F. "Compact" means this Dentist and Dental Hygienist Compact.
- 8 G. "Compact Privilege" means the authorization granted by a
- 9 Remote State to allow a Licensee from a Participating State to
- 10 practice as a Dentist or Dental Hygienist in a Remote State.
- 11 H. "Continuing Professional Development" means a requirement,
- 12 as a condition of License renewal to provide evidence of
- 13 successful participation in educational or professional
- 14 activities relevant to practice or area of work.
- 15 I. "Criminal Background Check" means the submission of
- 16 fingerprints or other biometric-based information for a License
- 17 applicant for the purpose of obtaining that applicant's criminal
- 18 history record information, as defined in 28 C.F.R. § 20.3(d)
- 19 from the Federal Bureau of Investigation and the State's
- 20 criminal history record repository as defined in 28 C.F.R. §
- 21 20.3(f).
- J. "Data System" means the Commission's repository of
- 23 information about Licensees, including but not limited to
- 24 examination, licensure, investigative, Compact Privilege,
- 25 Adverse Action, and Alternative Program.
- 26 K. "Dental Hygienist" means an individual who is licensed by
- 27 a State Licensing Authority to practice dental hygiene.
- 28 L. "Dentist" means an individual who is licensed by a State
- 29 Licensing Authority to practice dentistry.
- 30 M. "Dentist and Dental Hygienist Compact Commission" or

- 1 "Commission" means a joint government agency established by this
- 2 Compact comprised of each State that has enacted the Compact and
- 3 a national administrative body comprised of a Commissioner from
- 4 each State that has enacted the Compact.
- 5 N. "Encumbered License" means a License that a State
- 6 Licensing Authority has limited in any way other than through an
- 7 Alternative Program.
- 8 O. "Executive Board" means the Chair, Vice Chair, Secretary
- 9 and Treasurer and any other Commissioners as may be determined
- 10 by Commission Rule or bylaw.
- 11 P. "Jurisprudence Requirement" means the assessment of an
- 12 individual's knowledge of the laws and Rules governing the
- 13 practice of dentistry or dental hygiene, as applicable, in a
- 14 State.
- Q. "License" means current authorization by a State, other
- 16 than authorization pursuant to a Compact Privilege, or other
- 17 privilege, for an individual to practice as a Dentist or Dental
- 18 Hygienist in that State.
- 19 R. "Licensee" means an individual who holds an unrestricted
- 20 License from a Participating State to practice as a Dentist or
- 21 Dental Hygienist in that State.
- 22 S. "Model Compact" the model for the Dentist and Dental
- 23 Hygienist Compact on file with the Council of State Governments
- 24 or other entity as designated by the Commission.
- 25 T. "Participating State" means a State that has enacted the
- 26 Compact and been admitted to the Commission in accordance with
- 27 the provisions herein and Commission Rules.
- U. "Qualifying License" means a License that is not an
- 29 Encumbered License issued by a Participating State to practice
- 30 dentistry or dental hygiene.

- 1 V. "Remote State" means a Participating State where a
- 2 Licensee who is not licensed as a Dentist or Dental Hygienist is
- 3 exercising or seeking to exercise the Compact Privilege.
- 4 W. "Rule" means a regulation promulgated by an entity that
- 5 has the force of law.
- 6 X. "Scope of Practice" means the procedures, actions, and
- 7 processes a Dentist or Dental Hygienist licensed in a State is
- 8 permitted to undertake in that State and the circumstances under
- 9 which the Licensee is permitted to undertake those procedures,
- 10 actions and processes. Such procedures, actions and processes
- 11 and the circumstances under which they may be undertaken may be
- 12 established through means, including, but not limited to,
- 13 statute, regulations, case law, and other processes available to
- 14 the State Licensing Authority or other government agency.
- 15 Y. "Significant Investigative Information" means information,
- 16 records, and documents received or generated by a State
- 17 Licensing Authority pursuant to an investigation for which a
- 18 determination has been made that there is probable cause to
- 19 believe that the Licensee has violated a statute or regulation
- 20 that is considered more than a minor infraction for which the
- 21 State Licensing Authority could pursue Adverse Action against
- 22 the Licensee.
- 23 Z. "State" means any state, commonwealth, district, or
- 24 territory of the United States of America that regulates the
- 25 practices of dentistry and dental hygiene.
- AA. "State Licensing Authority" means an agency or other
- 27 entity of a State that is responsible for the licensing and
- 28 regulation of Dentists or Dental Hygienists.
- 29 SECTION 3. STATE PARTICIPATION IN THE COMPACT
- 30 A. In order to join the Compact and thereafter continue as a

- 1 Participating State, a State must:
- 2 1. Enact a compact that is not materially different from the
- 3 Model Compact as determined in accordance with Commission Rules;
- 4 2. Participate fully in the Commission's Data System;
- 5 3. Have a mechanism in place for receiving and investigating
- 6 complaints about its Licensees and License applicants;
- 7 4. Notify the Commission, in compliance with the terms of the
- 8 Compact and Commission Rules, of any Adverse Action or the
- 9 availability of Significant Investigative Information regarding
- 10 a Licensee and License applicant;
- 11 5. Fully implement a Criminal Background Check requirement,
- 12 within a time frame established by Commission Rule, by receiving
- 13 the results of a qualifying Criminal Background Check;
- 14 6. Comply with the Commission Rules applicable to a
- 15 Participating State;
- 7. Accept the National Board Examinations of the Joint
- 17 Commission on National Dental Examinations or another
- 18 examination accepted by Commission Rule as a licensure
- 19 examination;
- 8. Accept for licensure that applicants for a Dentist License
- 21 graduate from a predoctoral dental education program accredited
- 22 by the Commission on Dental Accreditation, or another
- 23 accrediting agency recognized by the United States Department of
- 24 Education for the accreditation of dentistry and dental hygiene
- 25 education programs, leading to the Doctor of Dental Surgery
- 26 (D.D.S.) or Doctor of Dental Medicine (D.M.D.) degree;
- 9. Accept for licensure that applicants for a Dental
- 28 Hygienist License graduate from a dental hygiene education
- 29 program accredited by the Commission on Dental Accreditation or
- 30 another accrediting agency recognized by the United States

- 1 Department of Education for the accreditation of dentistry and
- 2 dental hygiene education programs;
- 3 10. Require for licensure that applicants successfully
- 4 complete a Clinical Assessment;
- 5 11. Have Continuing Professional Development requirements as
- 6 a condition for License renewal; and
- 7 12. Pay a participation fee to the Commission as established
- 8 by Commission Rule.
- 9 B. Providing alternative pathways for an individual to obtain
- 10 an unrestricted License does not disqualify a State from
- 11 participating in the Compact.
- 12 C. When conducting a Criminal Background Check the State
- 13 Licensing Authority shall:
- 14 1. Consider that information in making a licensure decision;
- 2. Maintain documentation of completion of the Criminal
- 16 Background Check and background check information to the extent
- 17 allowed by State and federal law; and
- 18 3. Report to the Commission whether it has completed the
- 19 Criminal Background Check and whether the individual was granted
- 20 or denied a License.
- 21 D. A Licensee of a Participating State who has a Qualifying
- 22 License in that State and does not hold an Encumbered License in
- 23 any other Participating State, shall be issued a Compact
- 24 Privilege in a Remote State in accordance with the terms of the
- 25 Compact and Commission Rules. If a Remote State has a
- 26 Jurisprudence Requirement a Compact Privilege will not be issued
- 27 to the Licensee unless the Licensee has satisfied the
- 28 Jurisprudence Requirement.
- 29 SECTION 4. COMPACT PRIVILEGE
- 30 A. To obtain and exercise the Compact Privilege under the

- 1 terms and provisions of the Compact, the Licensee shall:
- 2 1. Have a Qualifying License as a Dentist or Dental Hygienist
- 3 in a Participating State;
- 4 2. Be eligible for a Compact Privilege in any Remote State in
- 5 accordance with D, G and H of this section;
- 6 3. Submit to an application process whenever the Licensee is
- 7 seeking a Compact Privilege;
- 8 4. Pay any applicable Commission and Remote State fees for a
- 9 Compact Privilege in the Remote State;
- 10 5. Meet any Jurisprudence Requirement established by a Remote
- 11 State in which the Licensee is seeking a Compact Privilege;
- 12 6. Have passed a National Board Examination of the Joint
- 13 Commission on National Dental Examinations or another
- 14 examination accepted by Commission Rule;
- 7. For a Dentist, have graduated from a predoctoral dental
- 16 education program accredited by the Commission on Dental
- 17 Accreditation, or another accrediting agency recognized by the
- 18 United States Department of Education for the accreditation of
- 19 dentistry and dental hygiene education programs, leading to the
- 20 Doctor of Dental Surgery (D.D.S.) or Doctor of Dental Medicine
- 21 (D.M.D.) degree;
- 8. For a Dental Hygienist, have graduated from a dental
- 23 hygiene education program accredited by the Commission on Dental
- 24 Accreditation or another accrediting agency recognized by the
- 25 United States Department of Education for the accreditation of
- 26 dentistry and dental hygiene education programs;
- 9. Have successfully completed a Clinical Assessment for
- 28 licensure;
- 29 10. Report to the Commission Adverse Action taken by any non-
- 30 Participating State when applying for a Compact Privilege and,

- 1 otherwise, within thirty (30) days from the date the Adverse
- 2 Action is taken;
- 3 11. Report to the Commission when applying for a Compact
- 4 Privilege the address of the Licensee's primary residence and
- 5 thereafter immediately report to the Commission any change in
- 6 the address of the Licensee's primary residence; and
- 7 12. Consent to accept service of process by mail at the
- 8 Licensee's primary residence on record with the Commission with
- 9 respect to any action brought against the Licensee by the
- 10 Commission or a Participating State, and consent to accept
- 11 service of a subpoena by mail at the Licensee's primary
- 12 residence on record with the Commission with respect to any
- 13 action brought or investigation conducted by the Commission or a
- 14 Participating State.
- 15 B. The Licensee must comply with the requirements of
- 16 subsection A of this section to maintain the Compact Privilege
- 17 in the Remote State. If those requirements are met, the Compact
- 18 Privilege will continue as long as the Licensee maintains a
- 19 Qualifying License in the State through which the Licensee
- 20 applied for the Compact Privilege and pays any applicable
- 21 Compact Privilege renewal fees.
- 22 C. A Licensee providing dentistry or dental hygiene in a
- 23 Remote State under the Compact Privilege shall function within
- 24 the Scope of Practice authorized by the Remote State for a
- 25 Dentist or Dental Hygienist licensed in that State.
- D. A Licensee providing dentistry or dental hygiene pursuant
- 27 to a Compact Privilege in a Remote State is subject to that
- 28 State's regulatory authority. A Remote State may, in accordance
- 29 with due process and that State's laws, by Adverse Action revoke
- 30 or remove a Licensee's Compact Privilege in the Remote State for

- 1 a specific period of time and impose fines or take any other
- 2 necessary actions to protect the health and safety of its
- 3 citizens. If a Remote State imposes an Adverse Action against a
- 4 Compact Privilege that limits the Compact Privilege, that
- 5 Adverse Action applies to all Compact Privileges in all Remote
- 6 States. A Licensee whose Compact Privilege in a Remote State is
- 7 removed for a specified period of time is not eligible for a
- 8 Compact Privilege in any other Remote State until the specific
- 9 time for removal of the Compact Privilege has passed and all
- 10 encumbrance requirements are satisfied.
- 11 E. If a License in a Participating State is an Encumbered
- 12 License, the Licensee shall lose the Compact Privilege in a
- 13 Remote State and shall not be eligible for a Compact Privilege
- 14 in any Remote State until the License is no longer encumbered.
- 15 F. Once an Encumbered License in a Participating State is
- 16 restored to good standing, the Licensee must meet the
- 17 requirements of subsection A of this section to obtain a Compact
- 18 Privilege in a Remote State.
- 19 G. If a Licensee's Compact Privilege in a Remote State is
- 20 removed by the Remote State, the individual shall lose or be
- 21 ineligible for the Compact Privilege in any Remote State until
- 22 the following occur:
- 23 1. The specific period of time for which the Compact
- 24 Privilege was removed has ended; and
- 25 2. All conditions for removal of the Compact Privilege have
- 26 been satisfied.
- 27 H. Once the requirements of subsection G of this section have
- 28 been met, the Licensee must meet the requirements in subsection
- 29 A of this section to obtain a Compact Privilege in a Remote
- 30 State.

- 1 SECTION 5. ACTIVE MILITARY MEMBER OR THEIR SPOUSES
- 2 An Active Military Member and their spouse shall not be
- 3 required to pay to the Commission for a Compact Privilege the
- 4 fee otherwise charged by the Commission. If a Remote State
- 5 chooses to charge a fee for a Compact Privilege, it may choose
- 6 to charge a reduced fee or no fee to an Active Military Member
- 7 and their spouse for a Compact Privilege.
- 8 SECTION 6. ADVERSE ACTIONS
- 9 A. A Participating State in which a Licensee is licensed
- 10 shall have exclusive authority to impose Adverse Action against
- 11 the Qualifying License issued by that Participating State.
- 12 B. A Participating State may take Adverse Action based on the
- 13 Significant Investigative Information of a Remote State, so long
- 14 as the Participating State follows its own procedures for
- 15 imposing Adverse Action.
- 16 C. Nothing in this Compact shall override a Participating
- 17 State's decision that participation in an Alternative Program
- 18 may be used in lieu of Adverse Action and that such
- 19 participation shall remain non-public if required by the
- 20 Participating State's laws. Participating States must require
- 21 Licensees who enter any Alternative Program in lieu of
- 22 discipline to agree not to practice pursuant to a Compact
- 23 Privilege in any other Participating State during the term of
- 24 the Alternative Program without prior authorization from such
- 25 other Participating State.
- D. Any Participating State in which a Licensee is applying to
- 27 practice or is practicing pursuant to a Compact Privilege may
- 28 investigate actual or alleged violations of the statutes and
- 29 regulations authorizing the practice of dentistry or dental
- 30 hygiene in any other Participating State in which the Dentist or

- 1 Dental Hygienist holds a License or Compact Privilege.
- 2 E. A Remote State shall have the authority to:
- 3 1. Take Adverse Actions as set forth in Section 4.D against a
- 4 Licensee's Compact Privilege in the State;
- 5 2. In furtherance of its rights and responsibilities under
- 6 the Compact and the Commission's Rules issue subpoenas for both
- 7 hearings and investigations that require the attendance and
- 8 testimony of witnesses, and the production of evidence.
- 9 Subpoenas issued by a State Licensing Authority in a
- 10 Participating State for the attendance and testimony of
- 11 witnesses, or the production of evidence from another
- 12 Participating State, shall be enforced in the latter State by
- 13 any court of competent jurisdiction, according to the practice
- 14 and procedure of that court applicable to subpoenas issued in
- 15 proceedings pending before it. The issuing authority shall pay
- 16 any witness fees, travel expenses, mileage, and other fees
- 17 required by the service statutes of the State where the
- 18 witnesses or evidence are located; and
- 3. If otherwise permitted by State law, recover from the
- 20 Licensee the costs of investigations and disposition of cases
- 21 resulting from any Adverse Action taken against that Licensee.
- 22 F. Joint Investigations
- 23 1. In addition to the authority granted to a Participating
- 24 State by its Dentist or Dental Hygienist licensure act or other
- 25 applicable State law, a Participating State may jointly
- 26 investigate Licensees with other Participating States.
- 27 2. Participating States shall share any Significant
- 28 Investigative Information, litigation, or compliance materials
- 29 in furtherance of any joint or individual investigation
- 30 initiated under the Compact.

- 1 G. Authority to Continue Investigation
- 2 1. After a Licensee's Compact Privilege in a Remote State is
- 3 terminated, the Remote State may continue an investigation of
- 4 the Licensee that began when the Licensee had a Compact
- 5 Privilege in that Remote State.
- 6 2. If the investigation yields what would be Significant
- 7 Investigative Information had the Licensee continued to have a
- 8 Compact Privilege in that Remote State, the Remote State shall
- 9 report the presence of such information to the Data System as
- 10 required by Section 8.B.6 as if it was Significant Investigative
- 11 Information.
- 12 SECTION 7. ESTABLISHMENT AND OPERATION OF THE COMMISSION.
- 13 A. The Compact Participating States hereby create and
- 14 establish a joint government agency whose membership consists of
- 15 all Participating States that have enacted the Compact. The
- 16 Commission is an instrumentality of the Participating States
- 17 acting jointly and not an instrumentality of any one State. The
- 18 Commission shall come into existence on or after the effective
- 19 date of the Compact as set forth in Section 11A.
- 20 B. Participation, Voting, and Meetings
- 21 1. Each Participating State shall have and be limited to one
- 22 (1) Commissioner selected by that Participating State's State
- 23 Licensing Authority or, if the State has more than one State
- 24 Licensing Authority, selected collectively by the State
- 25 Licensing Authorities.
- 26 2. The Commissioner shall be a member or designee of such
- 27 Authority or Authorities.
- 28 3. The Commission may by Rule or bylaw establish a term of
- 29 office for Commissioners and may by Rule or bylaw establish term
- 30 limits.

- 1 4. The Commission may recommend to a State Licensing
- 2 Authority or Authorities, as applicable, removal or suspension
- 3 of an individual as the State's Commissioner.
- 4 5. A Participating State's State Licensing Authority, or
- 5 Authorities, as applicable, shall fill any vacancy of its
- 6 Commissioner on the Commission within sixty (60) days of the
- 7 vacancy.
- 8 6. Each Commissioner shall be entitled to one vote on all
- 9 matters that are voted upon by the Commission.
- 7. The Commission shall meet at least once during each
- 11 calendar year. Additional meetings may be held as set forth in
- 12 the bylaws. The Commission may meet by telecommunication, video
- 13 conference or other similar electronic means.
- 14 C. The Commission shall have the following powers:
- 1. Establish the fiscal year of the Commission;
- 16 2. Establish a code of conduct and conflict of interest
- 17 policies;
- 3. Adopt Rules and bylaws;
- 19 4. Maintain its financial records in accordance with the
- 20 bylaws;
- 21 5. Meet and take such actions as are consistent with the
- 22 provisions of this Compact, the Commission's Rules, and the
- 23 bylaws;
- 24 6. Initiate and conclude legal proceedings or actions in the
- 25 name of the Commission, provided that the standing of any State
- 26 Licensing Authority to sue or be sued under applicable law shall
- 27 not be affected;
- 7. Maintain and certify records and information provided to a
- 29 Participating State as the authenticated business records of the
- 30 Commission, and designate a person to do so on the Commission's

- 1 behalf;
- 2 8. Purchase and maintain insurance and bonds;
- 9. Borrow, accept, or contract for services of personnel,
- 4 including, but not limited to, employees of a Participating
- 5 State;
- 6 10. Conduct an annual financial review;
- 7 11. Hire employees, elect or appoint officers, fix
- 8 compensation, define duties, grant such individuals appropriate
- 9 authority to carry out the purposes of the Compact, and
- 10 establish the Commission's personnel policies and programs
- 11 relating to conflicts of interest, qualifications of personnel,
- 12 and other related personnel matters;
- 13 12. As set forth in the Commission Rules, charge a fee to a
- 14 Licensee for the grant of a Compact Privilege in a Remote State
- 15 and thereafter, as may be established by Commission Rule, charge
- 16 the Licensee a Compact Privilege renewal fee for each renewal
- 17 period in which that Licensee exercises or intends to exercise
- 18 the Compact Privilege in that Remote State. Nothing herein shall
- 19 be construed to prevent a Remote State from charging a Licensee
- 20 a fee for a Compact Privilege or renewals of a Compact
- 21 Privilege, or a fee for the Jurisprudence Requirement if the
- 22 Remote State imposes such a requirement for the grant of a
- 23 Compact Privilege;
- 24 13. Accept any and all appropriate gifts, donations, grants
- 25 of money, other sources of revenue, equipment, supplies,
- 26 materials, and services, and receive, utilize, and dispose of
- 27 the same; provided that at all times the Commission shall avoid
- 28 any appearance of impropriety and/or conflict of interest;
- 29 14. Lease, purchase, retain, own, hold, improve, or use any
- 30 property, real, personal, or mixed, or any undivided interest

- 1 therein;
- 2 15. Sell, convey, mortgage, pledge, lease, exchange, abandon,
- 3 or otherwise dispose of any property real, personal, or mixed;
- 4 16. Establish a budget and make expenditures;
- 5 17. Borrow money;
- 6 18. Appoint committees, including standing committees, which
- 7 may be composed of members, State regulators, State legislators
- 8 or their representatives, and consumer representatives, and such
- 9 other interested persons as may be designated in this Compact
- 10 and the bylaws;
- 19. Provide and receive information from, and cooperate with,
- 12 law enforcement agencies;
- 13 20. Elect a Chair, Vice Chair, Secretary and Treasurer and
- 14 such other officers of the Commission as provided in the
- 15 Commission's bylaws;
- 16 21. Establish and elect an Executive Board;
- 17 22. Adopt and provide to the Participating States an annual
- 18 report;
- 19 23. Determine whether a State's enacted compact is materially
- 20 different from the Model Compact language such that the State
- 21 would not qualify for participation in the Compact; and
- 22 24. Perform such other functions as may be necessary or
- 23 appropriate to achieve the purposes of this Compact.
- D. Meetings of the Commission
- 25 1. All meetings of the Commission that are not closed
- 26 pursuant to this subsection shall be open to the public. Notice
- 27 of public meetings shall be posted on the Commission's website
- 28 at least thirty (30) days prior to the public meeting.
- 29 2. Notwithstanding subsection D.1 of this section, the
- 30 Commission may convene an emergency public meeting by providing

- 1 at least twenty-four (24) hours prior notice on the Commission's
- 2 website, and any other means as provided in the Commission's
- 3 Rules, for any of the reasons it may dispense with notice of
- 4 proposed rulemaking under Section 9.L. The Commission's legal
- 5 counsel shall certify that one of the reasons justifying an
- 6 emergency public meeting has been met.
- 7 3. Notice of all Commission meetings shall provide the time,
- 8 date, and location of the meeting, and if the meeting is to be
- 9 held or accessible via telecommunication, video conference, or
- 10 other electronic means, the notice shall include the mechanism
- 11 for access to the meeting through such means.
- 12 4. The Commission may convene in a closed, non-public meeting
- 13 for the Commission to receive legal advice or to discuss:
- 14 a. Non-compliance of a Participating State with its
- 15 obligations under the Compact;
- 16 b. The employment, compensation, discipline or other matters,
- 17 practices or procedures related to specific employees or other
- 18 matters related to the Commission's internal personnel practices
- 19 and procedures;
- 20 c. Current or threatened discipline of a Licensee or Compact
- 21 Privilege holder by the Commission or by a Participating State's
- 22 Licensing Authority;
- d. Current, threatened, or reasonably anticipated litigation;
- e. Negotiation of contracts for the purchase, lease, or sale
- 25 of goods, services, or real estate;
- 26 f. Accusing any person of a crime or formally censuring any
- 27 person;
- g. Trade secrets or commercial or financial information that
- 29 is privileged or confidential;
- 30 h. Information of a personal nature where disclosure would

- 1 constitute a clearly unwarranted invasion of personal privacy;
- i. Investigative records compiled for law enforcement
- 3 purposes;
- 4 j. Information related to any investigative reports prepared
- 5 by or on behalf of or for use of the Commission or other
- 6 committee charged with responsibility of investigation or
- 7 determination of compliance issues pursuant to the Compact;
- 8 k. Legal advice;
- 9 1. Matters specifically exempted from disclosure to the
- 10 public by federal or Participating State law; and
- 11 m. Other matters as promulgated by the Commission by Rule.
- 12 5. If a meeting, or portion of a meeting, is closed, the
- 13 presiding officer shall state that the meeting will be closed
- 14 and reference each relevant exempting provision, and such
- 15 reference shall be recorded in the minutes.
- 16 6. The Commission shall keep minutes that fully and clearly
- 17 describe all matters discussed in a meeting and shall provide a
- 18 full and accurate summary of actions taken, and the reasons
- 19 therefore, including a description of the views expressed. All
- 20 documents considered in connection with an action shall be
- 21 identified in such minutes. All minutes and documents of a
- 22 closed meeting shall remain under seal, subject to release only
- 23 by a majority vote of the Commission or order of a court of
- 24 competent jurisdiction.
- 25 E. Financing of the Commission
- 1. The Commission shall pay, or provide for the payment of,
- 27 the reasonable expenses of its establishment, organization, and
- 28 ongoing activities.
- 29 2. The Commission may accept any and all appropriate sources
- 30 of revenue, donations, and grants of money, equipment, supplies,

- 1 materials, and services.
- 2 3. The Commission may levy on and collect an annual
- 3 assessment from each Participating State and impose fees on
- 4 Licensees of Participating States when a Compact Privilege is
- 5 granted, to cover the cost of the operations and activities of
- 6 the Commission and its staff, which must be in a total amount
- 7 sufficient to cover its annual budget as approved each fiscal
- 8 year for which sufficient revenue is not provided by other
- 9 sources. The aggregate annual assessment amount for
- 10 Participating States shall be allocated based upon a formula
- 11 that the Commission shall promulgate by Rule.
- 12 4. The Commission shall not incur obligations of any kind
- 13 prior to securing the funds adequate to meet the same; nor shall
- 14 the Commission pledge the credit of any Participating State,
- 15 except by and with the authority of the Participating State.
- 16 5. The Commission shall keep accurate accounts of all
- 17 receipts and disbursements. The receipts and disbursements of
- 18 the Commission shall be subject to the financial review and
- 19 accounting procedures established under its bylaws. All receipts
- 20 and disbursements of funds handled by the Commission shall be
- 21 subject to an annual financial review by a certified or licensed
- 22 public accountant, and the report of the financial review shall
- 23 be included in and become part of the annual report of the
- 24 Commission.
- 25 F. The Executive Board
- 26 1. The Executive Board shall have the power to act on behalf
- 27 of the Commission according to the terms of this Compact. The
- 28 powers, duties, and responsibilities of the Executive Board
- 29 shall include:
- 30 a. Overseeing the day-to-day activities of the administration

- 1 of the Compact including compliance with the provisions of the
- 2 Compact, the Commission's Rules and bylaws;
- 3 b. Recommending to the Commission changes to the Rules or
- 4 bylaws, changes to this Compact legislation, fees charged to
- 5 Compact Participating States, fees charged to Licensees, and
- 6 other fees;
- 7 c. Ensuring Compact administration services are appropriately
- 8 provided, including by contract;
- 9 d. Preparing and recommending the budget;
- 10 e. Maintaining financial records on behalf of the Commission;
- 11 f. Monitoring Compact compliance of Participating States and
- 12 providing compliance reports to the Commission;
- g. Establishing additional committees as necessary;
- 14 h. Exercising the powers and duties of the Commission during
- 15 the interim between Commission meetings, except for adopting or
- 16 amending Rules, adopting or amending bylaws, and exercising any
- 17 other powers and duties expressly reserved to the Commission by
- 18 Rule or bylaw; and
- i. Other duties as provided in the Rules or bylaws of the
- 20 Commission.
- 2. The Executive Board shall be composed of up to seven (7)
- 22 members:
- 23 a. The Chair, Vice Chair, Secretary and Treasurer of the
- 24 Commission and any other members of the Commission who serve on
- 25 the Executive Board shall be voting members of the Executive
- 26 Board; and
- 27 b. Other than the Chair, Vice Chair, Secretary, and
- 28 Treasurer, the Commission may elect up to three (3) voting
- 29 members from the current membership of the Commission.
- 30 3. The Commission may remove any member of the Executive

- 1 Board as provided in the Commission's bylaws.
- 2 4. The Executive Board shall meet at least annually.
- 3 a. An Executive Board meeting at which it takes or intends to
- 4 take formal action on a matter shall be open to the public,
- 5 except that the Executive Board may meet in a closed, non-public
- 6 session of a public meeting when dealing with any of the matters
- 7 covered under subsection D.4.
- 8 b. The Executive Board shall give five (5) business days'
- 9 notice of its public meetings, posted on its website and as it
- 10 may otherwise determine to provide notice to persons with an
- 11 interest in the public matters the Executive Board intends to
- 12 address at those meetings.
- 13 5. The Executive Board may hold an emergency meeting when
- 14 acting for the Commission to:
- 15 a. Meet an imminent threat to public health, safety, or
- 16 welfare;
- 17 b. Prevent a loss of Commission or Participating State funds;
- 18 or
- 19 c. Protect public health and safety.
- 20 G. Qualified Immunity, Defense, and Indemnification
- 21 1. The members, officers, executive director, employees and
- 22 representatives of the Commission shall be immune from suit and
- 23 liability, both personally and in their official capacity, for
- 24 any claim for damage to or loss of property or personal injury
- 25 or other civil liability caused by or arising out of any actual
- 26 or alleged act, error, or omission that occurred, or that the
- 27 person against whom the claim is made had a reasonable basis for
- 28 believing occurred within the scope of Commission employment,
- 29 duties or responsibilities; provided that nothing in this
- 30 paragraph shall be construed to protect any such person from

- 1 suit or liability for any damage, loss, injury, or liability
- 2 caused by the intentional or willful or wanton misconduct of
- 3 that person. The procurement of insurance of any type by the
- 4 Commission shall not in any way compromise or limit the immunity
- 5 granted hereunder.
- 6 2. The Commission shall defend any member, officer, executive
- 7 director, employee, and representative of the Commission in any
- 8 civil action seeking to impose liability arising out of any
- 9 actual or alleged act, error, or omission that occurred within
- 10 the scope of Commission employment, duties, or responsibilities,
- 11 or as determined by the Commission that the person against whom
- 12 the claim is made had a reasonable basis for believing occurred
- 13 within the scope of Commission employment, duties, or
- 14 responsibilities; provided that nothing herein shall be
- 15 construed to prohibit that person from retaining their own
- 16 counsel at their own expense; and provided further, that the
- 17 actual or alleged act, error, or omission did not result from
- 18 that person's intentional or willful or wanton misconduct.
- 3. Notwithstanding subsection G.1 of this section, should any
- 20 member, officer, executive director, employee, or representative
- 21 of the Commission be held liable for the amount of any
- 22 settlement or judgment arising out of any actual or alleged act,
- 23 error, or omission that occurred within the scope of that
- 24 individual's employment, duties, or responsibilities for the
- 25 Commission, or that the person to whom that individual is liable
- 26 had a reasonable basis for believing occurred within the scope
- 27 of the individual's employment, duties, or responsibilities for
- 28 the Commission, the Commission shall indemnify and hold harmless
- 29 such individual, provided that the actual or alleged act, error,
- 30 or omission did not result from the intentional or willful or

- 1 wanton misconduct of the individual.
- 2 4. Nothing herein shall be construed as a limitation on the
- 3 liability of any Licensee for professional malpractice or
- 4 misconduct, which shall be governed solely by any other
- 5 applicable State laws.
- 5. Nothing in this Compact shall be interpreted to waive or
- 7 otherwise abrogate a Participating State's state action immunity
- 8 or state action affirmative defense with respect to antitrust
- 9 claims under the Sherman Act, Clayton Act, or any other State or
- 10 federal antitrust or anticompetitive law or regulation.
- 11 6. Nothing in this Compact shall be construed to be a waiver
- 12 of sovereign immunity by the Participating States or by the
- 13 Commission.
- 14 SECTION 8. DATA SYSTEM
- 15 A. The Commission shall provide for the development,
- 16 maintenance, operation, and utilization of a coordinated
- 17 database and reporting system containing licensure, Adverse
- 18 Action, and the presence of Significant Investigative
- 19 Information on all Licensees and applicants for a License in
- 20 Participating States.
- 21 B. Notwithstanding any other provision of State law to the
- 22 contrary, a Participating State shall submit a uniform data set
- 23 to the Data System on all individuals to whom this Compact is
- 24 applicable as required by the Rules of the Commission,
- 25 including:
- 26 1. Identifying information;
- 27 2. Licensure data;
- 3. Adverse Actions against a Licensee, License applicant or
- 29 Compact Privilege and information related thereto;
- 30 4. Non-confidential information related to Alternative

- 1 Program participation, the beginning and ending dates of such
- 2 participation, and other information related to such
- 3 participation;
- 4 5. Any denial of an application for licensure, and the
- 5 reason(s) for such denial, (excluding the reporting of any
- 6 criminal history record information where prohibited by law);
- 7 6. The presence of Significant Investigative Information; and
- 8 7. Other information that may facilitate the administration
- 9 of this Compact or the protection of the public, as determined
- 10 by the Rules of the Commission.
- 11 C. The records and information provided to a Participating
- 12 State pursuant to this Compact or through the Data System, when
- 13 certified by the Commission or an agent thereof, shall
- 14 constitute the authenticated business records of the Commission,
- 15 and shall be entitled to any associated hearsay exception in any
- 16 relevant judicial, quasi-judicial or administrative proceedings
- 17 in a Participating State.
- 18 D. Significant Investigative Information pertaining to a
- 19 Licensee in any Participating State will only be available to
- 20 other Participating States.
- 21 E. It is the responsibility of the Participating States to
- 22 monitor the database to determine whether Adverse Action has
- 23 been taken against a Licensee or License applicant. Adverse
- 24 Action information pertaining to a Licensee or License applicant
- 25 in any Participating State will be available to any other
- 26 Participating State.
- 27 F. Participating States contributing information to the Data
- 28 System may designate information that may not be shared with the
- 29 public without the express permission of the contributing State.
- 30 G. Any information submitted to the Data System that is

- 1 subsequently expunded pursuant to federal law or the laws of the
- 2 Participating State contributing the information shall be
- 3 removed from the Data System.
- 4 SECTION 9. RULEMAKING
- 5 A. The Commission shall promulgate reasonable Rules in order
- 6 to effectively and efficiently implement and administer the
- 7 purposes and provisions of the Compact. A Commission Rule shall
- 8 be invalid and have no force or effect only if a court of
- 9 competent jurisdiction holds that the Rule is invalid because
- 10 the Commission exercised its rulemaking authority in a manner
- 11 that is beyond the scope and purposes of the Compact, or the
- 12 powers granted hereunder, or based upon another applicable
- 13 standard of review.
- 14 B. The Rules of the Commission shall have the force of law in
- 15 each Participating State, provided however that where the Rules
- 16 of the Commission conflict with the laws of the Participating
- 17 State that establish the Participating State's Scope of Practice
- 18 as held by a court of competent jurisdiction, the Rules of the
- 19 Commission shall be ineffective in that State to the extent of
- 20 the conflict.
- 21 C. The Commission shall exercise its Rulemaking powers
- 22 pursuant to the criteria set forth in this section and the Rules
- 23 adopted thereunder. Rules shall become binding as of the date
- 24 specified by the Commission for each Rule.
- D. If a majority of the legislatures of the Participating
- 26 States rejects a Commission Rule or portion of a Commission
- 27 Rule, by enactment of a statute or resolution in the same manner
- 28 used to adopt the Compact, within four (4) years of the date of
- 29 adoption of the Rule, then such Rule shall have no further force
- 30 and effect in any Participating State or to any State applying

- 1 to participate in the Compact.
- 2 E. Rules shall be adopted at a regular or special meeting of
- 3 the Commission.
- 4 F. Prior to adoption of a proposed Rule, the Commission shall
- 5 hold a public hearing and allow persons to provide oral and
- 6 written comments, data, facts, opinions, and arguments.
- 7 G. Prior to adoption of a proposed Rule by the Commission,
- 8 and at least thirty (30) days in advance of the meeting at which
- 9 the Commission will hold a public hearing on the proposed Rule,
- 10 the Commission shall provide a Notice of Proposed Rulemaking:
- 1. On the website of the Commission or other publicly
- 12 accessible platform;
- 2. To persons who have requested notice of the Commission's
- 14 notices of proposed rulemaking, and
- 3. In such other way(s) as the Commission may by Rule
- 16 specify.
- 17 H. The Notice of Proposed Rulemaking shall include:
- 18 1. The time, date, and location of the public hearing at
- 19 which the Commission will hear public comments on the proposed
- 20 Rule and, if different, the time, date, and location of the
- 21 meeting where the Commission will consider and vote on the
- 22 proposed Rule;
- 23 2. If the hearing is held via telecommunication, video
- 24 conference, or other electronic means, the Commission shall
- 25 include the mechanism for access to the hearing in the Notice of
- 26 Proposed Rulemaking;
- 27 3. The text of the proposed Rule and the reason therefor;
- 4. A request for comments on the proposed Rule from any
- 29 interested person; and
- 30 5. The manner in which interested persons may submit written

- 1 comments.
- 2 I. All hearings will be recorded. A copy of the recording and
- 3 all written comments and documents received by the Commission in
- 4 response to the proposed Rule shall be available to the public.
- 5 J. Nothing in this section shall be construed as requiring a
- 6 separate hearing on each Commission Rule. Rules may be grouped
- 7 for the convenience of the Commission at hearings required by
- 8 this section.
- 9 K. The Commission shall, by majority vote of all
- 10 Commissioners, take final action on the proposed Rule based on
- 11 the rulemaking record.
- 12 1. The Commission may adopt changes to the proposed Rule
- 13 provided the changes do not enlarge the original purpose of the
- 14 proposed Rule.
- 15 2. The Commission shall provide an explanation of the reasons
- 16 for substantive changes made to the proposed Rule as well as
- 17 reasons for substantive changes not made that were recommended
- 18 by commenters.
- 19 3. The Commission shall determine a reasonable effective date
- 20 for the Rule. Except for an emergency as provided in subsection
- 21 L, the effective date of the Rule shall be no sooner than thirty
- 22 (30) days after the Commission issuing the notice that it
- 23 adopted or amended the Rule.
- 24 L. Upon determination that an emergency exists, the
- 25 Commission may consider and adopt an emergency Rule with 24
- 26 hours' notice, with opportunity to comment, provided that the
- 27 usual rulemaking procedures provided in the Compact and in this
- 28 section shall be retroactively applied to the Rule as soon as
- 29 reasonably possible, in no event later than ninety (90) days
- 30 after the effective date of the Rule. For the purposes of this

- 1 provision, an emergency Rule is one that must be adopted
- 2 immediately in order to:
- 3 1. Meet an imminent threat to public health, safety, or
- 4 welfare;
- 5 2. Prevent a loss of Commission or Participating State funds;
- 6 3. Meet a deadline for the promulgation of a Rule that is
- 7 established by federal law or rule; or
- 8 4. Protect public health and safety.
- 9 M. The Commission or an authorized committee of the
- 10 Commission may direct revisions to a previously adopted Rule for
- 11 purposes of correcting typographical errors, errors in format,
- 12 errors in consistency, or grammatical errors. Public notice of
- 13 any revisions shall be posted on the website of the Commission.
- 14 The revision shall be subject to challenge by any person for a
- 15 period of thirty (30) days after posting. The revision may be
- 16 challenged only on grounds that the revision results in a
- 17 material change to a Rule. A challenge shall be made in writing
- 18 and delivered to the Commission prior to the end of the notice
- 19 period. If no challenge is made, the revision will take effect
- 20 without further action. If the revision is challenged, the
- 21 revision may not take effect without the approval of the
- 22 Commission.
- N. No Participating State's rulemaking requirements shall
- 24 apply under this Compact.
- 25 SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
- 26 A. Oversight
- 27 1. The executive and judicial branches of State government in
- 28 each Participating State shall enforce this Compact and take all
- 29 actions necessary and appropriate to implement the Compact.
- 30 2. Venue is proper and judicial proceedings by or against the

- 1 Commission shall be brought solely and exclusively in a court of
- 2 competent jurisdiction where the principal office of the
- 3 Commission is located. The Commission may waive venue and
- 4 jurisdictional defenses to the extent it adopts or consents to
- 5 participate in alternative dispute resolution proceedings.
- 6 Nothing herein shall affect or limit the selection or propriety
- 7 of venue in any action against a Licensee for professional
- 8 malpractice, misconduct or any such similar matter.
- 9 3. The Commission shall be entitled to receive service of
- 10 process in any proceeding regarding the enforcement or
- 11 interpretation of the Compact or Commission Rule and shall have
- 12 standing to intervene in such a proceeding for all purposes.
- 13 Failure to provide the Commission service of process shall
- 14 render a judgment or order void as to the Commission, this
- 15 Compact, or promulgated Rules.
- 16 B. Default, Technical Assistance, and Termination
- 17 1. If the Commission determines that a Participating State
- 18 has defaulted in the performance of its obligations or
- 19 responsibilities under this Compact or the promulgated Rules,
- 20 the Commission shall provide written notice to the defaulting
- 21 State. The notice of default shall describe the default, the
- 22 proposed means of curing the default, and any other action that
- 23 the Commission may take, and shall offer training and specific
- 24 technical assistance regarding the default.
- 25 2. The Commission shall provide a copy of the notice of
- 26 default to the other Participating States.
- 27 C. If a State in default fails to cure the default, the
- 28 defaulting State may be terminated from the Compact upon an
- 29 affirmative vote of a majority of the Commissioners, and all
- 30 rights, privileges and benefits conferred on that State by this

- 1 Compact may be terminated on the effective date of termination.
- 2 A cure of the default does not relieve the offending State of
- 3 obligations or liabilities incurred during the period of
- 4 default.
- 5 D. Termination of participation in the Compact shall be
- 6 imposed only after all other means of securing compliance have
- 7 been exhausted. Notice of intent to suspend or terminate shall
- 8 be given by the Commission to the governor, the majority and
- 9 minority leaders of the defaulting State's legislature, the
- 10 defaulting State's State Licensing Authority or Authorities, as
- 11 applicable, and each of the Participating States' State
- 12 Licensing Authority or Authorities, as applicable.
- 13 E. A State that has been terminated is responsible for all
- 14 assessments, obligations, and liabilities incurred through the
- 15 effective date of termination, including obligations that extend
- 16 beyond the effective date of termination.
- 17 F. Upon the termination of a State's participation in this
- 18 Compact, that State shall immediately provide notice to all
- 19 Licensees of the State, including Licensees of other
- 20 Participating States issued a Compact Privilege to practice
- 21 within that State, of such termination. The terminated State
- 22 shall continue to recognize all Compact Privileges then in
- 23 effect in that State for a minimum of one hundred eighty (180)
- 24 days after the date of said notice of termination.
- 25 G. The Commission shall not bear any costs related to a State
- 26 that is found to be in default or that has been terminated from
- 27 the Compact, unless agreed upon in writing between the
- 28 Commission and the defaulting State.
- 29 H. The defaulting State may appeal the action of the
- 30 Commission by petitioning the U.S. District Court for the

- 1 District of Columbia or the federal district where the
- 2 Commission has its principal offices. The prevailing party shall
- 3 be awarded all costs of such litigation, including reasonable
- 4 attorney's fees.
- 5 I. Dispute Resolution
- 6 1. Upon request by a Participating State, the Commission
- 7 shall attempt to resolve disputes related to the Compact that
- 8 arise among Participating States and between Participating
- 9 States and non-Participating States.
- 10 2. The Commission shall promulgate a Rule providing for both
- 11 mediation and binding dispute resolution for disputes as
- 12 appropriate.
- 13 J. Enforcement
- 14 1. The Commission, in the reasonable exercise of its
- 15 discretion, shall enforce the provisions of this Compact and the
- 16 Commission's Rules.
- 2. By majority vote, the Commission may initiate legal action
- 18 against a Participating State in default in the United States
- 19 District Court for the District of Columbia or the federal
- 20 district where the Commission has its principal offices to
- 21 enforce compliance with the provisions of the Compact and its
- 22 promulgated Rules. The relief sought may include both injunctive
- 23 relief and damages. In the event judicial enforcement is
- 24 necessary, the prevailing party shall be awarded all costs of
- 25 such litigation, including reasonable attorney's fees. The
- 26 remedies herein shall not be the exclusive remedies of the
- 27 Commission. The Commission may pursue any other remedies
- 28 available under federal or the defaulting Participating State's
- 29 law.
- 30 3. A Participating State may initiate legal action against

- 1 the Commission in the U.S. District Court for the District of
- 2 Columbia or the federal district where the Commission has its
- 3 principal offices to enforce compliance with the provisions of
- 4 the Compact and its promulgated Rules. The relief sought may
- 5 include both injunctive relief and damages. In the event
- 6 judicial enforcement is necessary, the prevailing party shall be
- 7 awarded all costs of such litigation, including reasonable
- 8 attorney's fees.
- 9 4. No individual or entity other than a Participating State
- 10 may enforce this Compact against the Commission.
- 11 SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT
- 12 A. The Compact shall come into effect on the date on which
- 13 the Compact statute is enacted into law in the seventh
- 14 Participating State.
- 15 1. On or after the effective date of the Compact, the
- 16 Commission shall convene and review the enactment of each of the
- 17 States that enacted the Compact prior to the Commission
- 18 convening ("Charter Participating States") to determine if the
- 19 statute enacted by each such Charter Participating State is
- 20 materially different than the Model Compact.
- 21 a. A Charter Participating State whose enactment is found to
- 22 be materially different from the Model Compact shall be entitled
- 23 to the default process set forth in Section 10.
- 24 b. If any Participating State is later found to be in
- 25 default, or is terminated or withdraws from the Compact, the
- 26 Commission shall remain in existence and the Compact shall
- 27 remain in effect even if the number of Participating States
- 28 should be less than seven (7).
- 29 2. Participating States enacting the Compact subsequent to
- 30 the Charter Participating States shall be subject to the process

- 1 set forth in Section 7.C.23 to determine if their enactments are
- 2 materially different from the Model Compact and whether they
- 3 qualify for participation in the Compact.
- 4 3. All actions taken for the benefit of the Commission or in
- 5 furtherance of the purposes of the administration of the Compact
- 6 prior to the effective date of the Compact or the Commission
- 7 coming into existence shall be considered to be actions of the
- 8 Commission unless specifically repudiated by the Commission.
- 9 4. Any State that joins the Compact subsequent to the
- 10 Commission's initial adoption of the Rules and bylaws shall be
- 11 subject to the Commission's Rules and bylaws as they exist on
- 12 the date on which the Compact becomes law in that State. Any
- 13 Rule that has been previously adopted by the Commission shall
- 14 have the full force and effect of law on the day the Compact
- 15 becomes law in that State.
- 16 B. Any Participating State may withdraw from this Compact by
- 17 enacting a statute repealing that State's enactment of the
- 18 Compact.
- 1. A Participating State's withdrawal shall not take effect
- 20 until one hundred eighty (180) days after enactment of the
- 21 repealing statute.
- 22 2. Withdrawal shall not affect the continuing requirement of
- 23 the withdrawing State's Licensing Authority or Authorities to
- 24 comply with the investigative and Adverse Action reporting
- 25 requirements of this Compact prior to the effective date of
- 26 withdrawal.
- 27 3. Upon the enactment of a statute withdrawing from this
- 28 Compact, the State shall immediately provide notice of such
- 29 withdrawal to all Licensees within that State. Notwithstanding
- 30 any subsequent statutory enactment to the contrary, such

- 1 withdrawing State shall continue to recognize all Compact
- 2 Privileges to practice within that State granted pursuant to
- 3 this Compact for a minimum of one hundred eighty (180) days
- 4 after the date of such notice of withdrawal.
- 5 C. Nothing contained in this Compact shall be construed to
- 6 invalidate or prevent any licensure agreement or other
- 7 cooperative arrangement between a Participating State and a non-
- 8 Participating State that does not conflict with the provisions
- 9 of this Compact.
- 10 D. This Compact may be amended by the Participating States.
- 11 No amendment to this Compact shall become effective and binding
- 12 upon any Participating State until it is enacted into the laws
- 13 of all Participating States.
- 14 SECTION 12. CONSTRUCTION AND SEVERABILITY
- 15 A. This Compact and the Commission's rulemaking authority
- 16 shall be liberally construed so as to effectuate the purposes,
- 17 and the implementation and administration of the Compact.
- 18 Provisions of the Compact expressly authorizing or requiring the
- 19 promulgation of Rules shall not be construed to limit the
- 20 Commission's rulemaking authority solely for those purposes.
- 21 B. The provisions of this Compact shall be severable and if
- 22 any phrase, clause, sentence or provision of this Compact is
- 23 held by a court of competent jurisdiction to be contrary to the
- 24 constitution of any Participating State, a State seeking
- 25 participation in the Compact, or of the United States, or the
- 26 applicability thereof to any government, agency, person or
- 27 circumstance is held to be unconstitutional by a court of
- 28 competent jurisdiction, the validity of the remainder of this
- 29 Compact and the applicability thereof to any other government,
- 30 agency, person or circumstance shall not be affected thereby.

- 1 C. Notwithstanding subsection B of this section, the
- 2 Commission may deny a State's participation in the Compact or,
- 3 in accordance with the requirements of Section 10.B, terminate a
- 4 Participating State's participation in the Compact, if it
- 5 determines that a constitutional requirement of a Participating
- 6 State is a material departure from the Compact. Otherwise, if
- 7 this Compact shall be held to be contrary to the constitution of
- 8 any Participating State, the Compact shall remain in full force
- 9 and effect as to the remaining Participating States and in full
- 10 force and effect as to the Participating State affected as to
- 11 all severable matters.
- 12 SECTION 13. CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE LAWS
- 13 A. Nothing herein shall prevent or inhibit the enforcement of
- 14 any other law of a Participating State that is not inconsistent
- 15 with the Compact.
- B. Any laws, statutes, regulations, or other legal
- 17 requirements in a Participating State in conflict with the
- 18 Compact are superseded to the extent of the conflict.
- 19 C. All permissible agreements between the Commission and the
- 20 Participating States are binding in accordance with their terms.
- 21 Section 3. When and how compact becomes operative.
- 22 (a) Execution. -- When the Governor executes the Dentist and
- 23 Dental Hygienist Compact on behalf of this State and files a
- 24 verified copy thereof with the Secretary of the Commonwealth and
- 25 when the compact is ratified by six or more other states, then
- 26 the compact shall become operative and effective between this
- 27 State and such other state or states. The Governor is hereby
- 28 authorized and directed to take such action as may be necessary
- 29 to complete the exchange of official documents between this
- 30 State and any other state ratifying the compact.

- 1 (b) Notice in Pennsylvania Bulletin.--The Secretary of the
- 2 Commonwealth shall transmit a notice to the Legislative
- 3 Reference Bureau for publication in the next available issue of
- 4 the Pennsylvania Bulletin when the conditions set forth in
- 5 subsection (a) are satisfied and shall include in the notice the
- 6 date on which the compact became effective and operative between
- 7 this State and any other state or states in accordance with this
- 8 act.
- 9 Section 4. Compensation and expenses of commissioner.
- 10 The commissioner who represents this State, as provided for
- 11 in section 7B of the Compact, shall not be entitled to any
- 12 additional compensation for his duties and responsibilities as
- 13 commissioner but shall be entitled to reimbursement for
- 14 reasonable expenses actually incurred in connection with his
- 15 duties and responsibilities as commissioner in the same manner
- 16 as for expenses incurred in connection with other duties and
- 17 responsibilities of his office or employment.
- 18 Section 5. Effective date.
- 19 This act shall take effect in 60 days.